



02-27-02

A

Commissioner for Patents  
Washington, DC 20231  
ATTN: BOX PATENT APPLICATION

Sheet 1 of 2

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
Docket No.: 01-544US  
Date: February 26, 2002



U.S. Express Mail Label No.: EV018435674US

Inventor Name(s):  
JOHN M. SPANGLER

Title:  
A METHOD OF PROCURING A COMPONENT

- Fee Transmittal Form Attached in Duplicate
- Specification and Claim(s) [Total Pages 15 ]
- Drawing(s) [Total Sheets 2 ]

FORMAL

Declaration [Total Pages 1 ]

- Newly Executed (Original or Copy)
- Copy From Prior Application (37 CFR § 1.63(d))
- Deletion of Inventor(s) (37 CFR § 1.63(d)(2))  
(Signed Statement Attached)

- Assignment Papers (Cover Sheet and Document(s))
- 37 CFR § 3.73(b) Statement (if applicable)  Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (Specifically Itemized)
- Certified Copy of Priority Document(s)
- Other

If a Continuing Application

Continuation       Divisional       Continuation-In-Part (CIP)

of prior Application No.: ;

Examiner: ; and

Group/Art Unit:

Cancel Claims:   

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

Customer Number or Bar Code Label: 719

or

Correspondence address below:

Name:

Address:

City:

State:

Zip Code:

Country:

\*\*\*\*\*

Attorney/Agent: W. Bryan McPherson III

Registration No.: 41,988

Signature: W. Bryan McPherson  
Caterpillar Inc. Date: Feb. 26, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740)

**Complete if Known**

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	John M. Spangler
Examiner Name	(Unassigned)
Group Art Unit	(Unassigned)
Attorney Docket No.	01-544US

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number **03-1129**  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 0)**

\*\*or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	W. Bryan McPherson III	Registration No. (Attorney/Agent)	41,988	Telephone	(309) 675-4015
Signature	<i>W. Bryan McPherson III</i>	Date	02/26/2002		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.